

**Fax**☒ Urgent ☐ Return reply requested ☐ Original will be sent as confirmation

To: U.S. Patent and Trademark Office

Date: April 2, 2003

Attention: Examiner Celene X. Qian
Group Art Unit 1636

Re: U.S. Utility Patent Appl. 09/869,159

Filed: August 15, 2001

From: Robert W. Esmond, Esq. *RWE*For: Assay for Identifying Compounds Which Affect
Stability of mRNAInventors: KASTELIC *et al.*

Pages (including cover sheet): 14

Your Reference: 09/869,159

Fax No: (703) 746-7189

Our Reference: 1556.0290000/RWE/JKM

Message

Certification of Facsimile Transmission

I hereby certify that a duplicate copy of the following Supplemental Amendment in the Revised Format of the Pre-OG Notice Dated January 31, 2003, Fee Transmittal (Form PTO/SB/17), and Credit Card Payment form PTO-2038 were facsimile transmitted to the U.S. Patent and Trademark Office on this, the second day of April, 2003.

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APR. 2. 2003 10:16AM

SKG&F3712540

NO. 3589 P. 2

DUPLICATE

Applicants: KASTELIC *et al.*

Due Date: None

Art Unit: 1636

Examiner: Qian, C.X.

Application No.: 09/869,159

Docket: 1556.0290000

Filed: August 15, 2001

Atty: RWE/JKM

For: Assay for Identifying Compounds Which Affect Stability of mRNA

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGP Cover Letter;
2. Fee Transmittal Form PTO/SB/17;
3. Supplemental Amendment in the Revised Format of the Pre-OG Notice Dated January 31, 2003;
4. Credit Card Payment Form PTO-2038; and
5. One (1) return postcard.

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Art Unit 1636*

SKGP_DCI:118172.1



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*Admitted only in Maryland
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DUPLICATE

April 1, 2003

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

RESMOND@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

*Hand Carry to Examiner Celine X. Qian
Art Unit 1636*

Re: U.S. Utility Patent Application
Appl. No. 09/869,159; Filed: August 15, 2001
For: Assay for Identifying Compounds Which Affect Stability of mRNA
Inventors: KASTELIC *et al.*
Our Ref: 1556.0290000/RWE/JKM

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form PTO/SB/17;
2. Supplemental Amendment in the Revised Format of the Pre-OG Notice Dated January 31, 2003;
3. Credit Card Payment Form PTO-2038; and
4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

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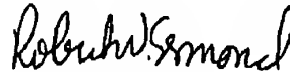
NO. 3589 P. 4

Commissioner for Patents
April 1, 2003
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert W. Esmond
Attorney for Applicants
Registration No. 32,893

RWE/JKM/acr
Enclosures

SKGF_DC1:118173.1

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 NO. 3589, P. 5
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 and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 18 0651-0032
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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$102.00)

Complete If Known

| | |
|----------------------|----------------------|
| Application Number | 09/889,159 |
| Filing Date | August 16, 2001 |
| First Named Inventor | Tenja KASTELIC |
| Examiner Name | Qian, C.X. |
| Group Art Unit | 1636 |
| Attorney Docket No. | 1659.0290000/RWE/JKM |

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☒ Other** ☐ None
 ** Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

☐ Deposit Account
 Deposit Account Number 19-0036

Deposit Account Name: Sterne, Kassler, Goldstein & Fox P.L.L.C.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any over payments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 760 | 2001 | 376 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1105 | 180 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra | Fee from below | Fee Paid |
|--------------------|----------|----------------|----------|
| 23 | - 21** = | 2 X 9 | 18.00 |
| Indep. Claims 6 | - 3** = | 2 X 42 | 84.00 |
| Multiple Dependent | | | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 1202 | 16 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim. If not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 16 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 102.00

**or number previously paid, if greater; For Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051 | 130 | 2051 | 85 | Surcharge - late filing fee or oath | |
| 1602 | 60 | 2052 | 25 | Surcharge-late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1806 | 1,840* | 1806 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 630 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1603 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Partitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(g) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 800 | 1802 | 800 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)

Robert W. Esmond

Registration No.

32,893

Complete (if applicable)

Telephone

202-371-2600

Signature

Robert W. Esmond

Date

April 1, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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